# Step by step development of HIRM-KW: a field-scale runoff model

Domenico Ditto<sup>1°</sup>, Mattia Sanna<sup>1</sup>, Lodovico Alfieri<sup>1</sup>, Mattia Fumagalli<sup>1</sup>, Marco Acutis<sup>1</sup>

**Abstract:** One of the major causes of land degradation and loss of fertility is the soil erosion due to water runoff. In Italy, 77% of the territory is estimated to be threatened by accelerated erosion, because of both its natural structure and anthropic action. This leads to the need of developing modelling tools able to provide useful information for runoff risk assessment. This paper presents a detailed description of HIRM-KW (Hydrological Infiltration Runoff Model), a physics-based hydrological model for simulating the dynamics of water runoff and infiltration in lowland soils. The model was developed by coupling a Kinematic Wave model with the Smith-Parlange infiltration theory and by making use of the basic laws of motion. Moreover, it was built up step by step in order to obtain a system of equations, lending itself to numerical treatment. HIRM-KW performances were evaluated, for demonstration purposes, by comparing the results of three simulations with corresponding outputs provided by EUROSEM (European Soil Erosion Model), resulting in a good degree of agreement. HIRM-KW is implemented by a freeware software and the executable program can be requested to the Authors.

**Keywords:** Kinematic-Wave equations, infiltration-runoff processes, physics-based models, soil erosion, numerical methods.

**Riassunto:** Una delle principali cause della degradazione del territorio e della perdita di fertilità è il processo di erosione idrica del suolo, dovuto al fenomeno del ruscellamento (o runoff). Si stima che in Italia il 77% del territorio sia minacciato dal problema dell'erosione accelerata, a causa tanto della sua struttura naturale quanto dell'azione dell'uomo. Di qui emerge la necessità di creare strumenti modellistici in grado di fornire informazioni utili alla valutazione del rischio di ruscellamento. In questo articolo viene presentata una descrizione dettagliata di HIRM-KW (Hydrological Infiltration Runoff Model), un modello idrologico fisicamente basato per la simulazione della dinamica del ruscellamento e dell'infiltrazione dell'acqua nel suolo. Il modello è stato sviluppato accoppiando un modello di onda cinematica con la teoria dell'infiltrazione di Smith-Parlange, sfruttando le leggi fondamentali del moto. La costruzione passo passo del modello ha inoltre permesso di ottenere un sistema di equazioni che ben si presta alla ricerca di soluzioni per via numerica. Le performance di HIRM-KW sono state poi valutate, a fini dimostrativi, confrontando i risultati di tre simulazioni con gli analoghi output prodotti da EUROSEM (European Soil Erosion Model), ottenendo un buon livello di concordanza tra i due modelli. Ricordiamo infine che HIRM-KW è un software "freeware" e perciò una copia del programma può essere richiesta agli Autori.

Parole chiave: equazioni dell'onda cinematica, processi d'infiltrazione-runoff, modelli fisicamente basati erosione del suolo, metodi numerici.

### **1. INTRODUCTION**

Soil erosion by water is one of the major issues of land conservation and it can be effectively limited by adopting efficient agricultural techniques (EC 232, 2006), as a consequence the knowledge of soil hydrological response to water dynamics is essential. Such knowledge is provided, for example, by inflow/outflow models, which can be applied to lowland soils, often being affected by problems connected to excess-water management, small-scale border or rainfall irrigation. Moreover, these models can be exploited to deal with water storage decreasing and erosion, caused by the reduction of the

\* Corresponding author's e-mail: domenico.ditto@unimi.it

<sup>1</sup> Department of Agricultural and Environmental Sciences (DISAA) - University of Milan, Italy.

cultivated soil layer and frequently occurring on steep slope lands. The dynamic modelling of erosion is nowadays one of the most popular instrument to risk evaluation and identification, as it has been demonstrated that several problems concerning water runoff can be adequately treated by means of a variety of simplified physics-based models, such as the Diffusion-Wave model and the Kinematic-Wave model (Eagleson, 1970; Linsley et al., 1982; Miller, 1984; Stephenson and Meadows, 1986; Chow et al., 1988; Singh, 1996). Actually, despite the simplifying assumptions required by the equations governing the numerous processes involved, fully physics-based models allow to improve the understanding of the system, being particularly efficient when applied at farmland scale or to small catchments. The most common approach for simulating the surface water movement is based on the

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Kinematic-Wave equation, often integrated with infiltration models. However, there are some restrictions to its applicability to lowland soils, due to the bed slopes problem. It is well known that the Kinematic-Wave model is unable to correctly reproduce water runoff dynamics, when the bed slope is very small or locally adverse. In order to overcome this problem, several approximate models based on the Diffusion-Wave equation have been proposed (see e.g., Singh, 1996).

The main objective of this paper is the step by step development of a software tool for field-scale runoff modelling. As the theoretical background of soil water dynamics involves advanced mathematical and physical concepts, which usually are not easy to be understood, are also provided (i) a review of the basic physics of water surface runoff and other related processes and (ii) numerical methods for their practical application in hydrological modelling. In particular, we provide an exhaustive description of HIRM-KW (Hydrological Infiltration Runoff *Model*), a physics-based hydrological model, for simulating the dynamics of surface runoff and water infiltration at field scale, over a single plane or over a cascade of planes. The model was built up step by step in order to obtain a system of equations, lending itself to numerical treatment, and the main mathematical details are explicitly reported in the main text and in the appendices, since they are not usually outlined in the documentation of the most popular runoff models.

The theoretical model elaboration has gone hand in hand with the development of a freeware software, whose main features are simplicity and flexibility, granted by an object-oriented software architecture. The numerical libraries of the program are entirely written in C++ (see e.g. Press *et al.*, 2002; Lippman *et al.*, 2000; Malik, 2011) and they can be easily interfaced with a C# graphical front-end for the management of input/output data (see e.g., Nash, 2010). This led to the creation of an up-to-date software framework, allowing the assembling of more complex hydrological models and the integration of its main computational components into other simulation programs, like the ARMOSA simulation crop model (Perego *et al.*, 2013).

Section 2 describes the basic physical notions of soil water dynamics, implemented by HIRM-KW. Section 3 describes the HIRM-KW step by step development, clarifying how the equations of motion are first derived and assembled into a physical-mathematical model, and then numerically solved by non-linear finite difference methods. Section 4 shows, for demonstration purposes, the results of some in-

filtration and runoff simulations, which in the end were compared to the corresponding EUROSEM outputs (Morgan *et al.*, 1998).

# 2. BASIC PHYSICAL THEORIES

#### 2.1. Kinematic Wave flow routing models

The equations of motion for the surface runoff are usually derived by the well known De Saint-Venant dynamic equations. They represent the mathematical expression of the conservation laws for mass and momentum and they form a system of two Partial Differential Equations (PDE) of first order of the hyperbolic type. Such equations are highly nonlinear and analytical solutions can be obtained only in very few cases (see e.g., Yen and Chow, 1974; Miller, 1984; Chow et al., 1988; Brass, 1990; Singh, 1996). Starting from these equations, the most general models for simulating the surface runoff motion, are those based on the Dynamic Wave equations (for mathematical details, see Eqs. from (A.1.1) to (A.1.9)). Due to their high non-linearity, a first approximation is usually adopted, represented by the Diffusion Wave model, from which in turn an approximate form can be derived. In Appendix A.2 the derivation of the Diffusion Wave equation from the Dynamic Wave model is reported (see Eqs.(A.2.1)-(A.2.4)), while in Appendix A.3 mathematical details are listed, to obtain its approximate form (see Eqs. from (A.3.1) to (A.3.8)). Despite such approximations, still the Diffusion Wave model is highly nonlinear, being not easy to be used for dynamic modelling of overland flow. Consequently, a further approximation is usually adopted, being referred to as Kinematic Wave model (for mathematical details, see Eqs. from (A.4.1) to (A.4.6)). HIRM-KW was developed by coupling a Kinematic-Wave model with the Smith-Parlange infiltration theory and by making use of the basic laws of motion. The main features of the Kinematic Wave model implemented by HIRM-KW, are reported in the following subsections.

# 2.1.1. Kinematic-Wave model for overland flow

As discussed in Appendix A, the Kinematic-Wave equation (Eq.(A.4.6)) is based on a combination of the full continuity equation with the momentum equation for steady, uniform flow. Considering a channel with large rectangular section A, width W and height h(x,t) equal to the depth of flow, such as  $W \gg h$ , this channel can be assimilated to an inclined plane, where the wetted perimeter P and the hydraulic radius  $R_a$  can be approximated by  $P = 2h + W \approx W$  and  $R_a = A/P \approx h$ . In other words, the wetted perimeter is approximately equal to the plane

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width and the hydraulic radius is reduced to the flow depth h (see e.g., Miller, 1984; Chow *et al.*, 1988; Singh, 1996).

If the Kinematic-Wave approximation is applied, the friction slope  $S_f$  and the bed slope  $S_o$  are approximately equal, while the average speed U(x,t) of the flow in the direction of motion simplifies to:

$$U \approx \frac{N\sqrt{S_0}}{P^{\beta-1}} A^{\beta-1} \equiv \alpha_0 h^{\beta-1} \tag{1}$$

where  $\alpha_0$  is a roughness coefficient. If the *Chezy* relationship is used, then  $\alpha_0 \equiv C\sqrt{S_0}$  has the dimensions of  $[L^{1/2}/T]$ ; on the other hand, if the *Manning* relationship is used, then  $\alpha_0 \equiv C\sqrt{S_0}/n$  has the dimensions of  $[L^{1/3}/T]$ . Eq.(A.4.6) can then be written as

$$\frac{\partial h}{\partial t} + \beta \alpha_0 h^{\beta - 1} \frac{\partial h}{\partial x} = \tilde{q}_E \tag{2}$$

and Eq.(A.4.2) simplifies to

$$Q = UA \simeq \alpha_0 W h^\beta \tag{3}$$

where Q has the dimensions of a volumetric flow rate  $[L^3/T]$ . Eq.(2) is referred to as the *Kinematic*-*Wave approximation* and it is used in hydrological models for simulating overland flow runoff.

2.1.2. Wave celerity of the Kinematic-Wave model The wave celerity  $c_k$  [L/T] of the Kinematic-Wave model (Eq.(2)) can be obtained from Eq.(A.4.8), based on the velocity law adopted; thus, resulting

$$c_{\kappa}(h) = \beta U = \beta \alpha_0 h^{\beta - 1} \tag{4}$$

# 2.1.3. Criterion of applicability of the Kinematic-Wave model

Analysing runoff characteristics in different flow conditions, Woolhiser et al., (1967, 1970), Singh (1996, 2002) and Moramarco et al., (2002), concluded that the Kinematic-Wave assumption is accurate within an error of 10%, if the kinematic flow number K is greater than 20 and the Froude number  $F_r$  is greater than 1/2, leading to the application criterium  $F_r^2 K > 5$ . Several authors confirmed Woolisher's conclusions, showing that Eq.(2) provides a good approximation for describing surface runoff and erosion in most flow conditions (Chow et al., 1988; Brass, 1990; Singh, 1996). Furthermore, it has been demonstrated that, when  $S_0 < 0.02$  or  $S_0 > 0.10$ , the kinematic assumption is violated (Henderson, 1966; Govindaraju et al., 1988a, b). Even if this approach introduces a strong simplification, it has been successfully applied in several practical cases (see e.g., Ogden and Julien, 1993), including the numerical analysis of the water flux of macropores in soil proposed by Alaoui *et al.*, (2003).

**2.2 Rainfall intensity excess (or Total Runoff)** In Eq.(2), the lateral flow,  $\tilde{q}_E(x,t)$  [*L*/*T*] is a surface flow per unit width. When it refers to a plane, it may include different terms, such as net rainfall,  $R_{NET}$ , irrigation, melting snow, etc. Assuming bare soil, absence of irrigation inputs and neglecting water evaporation from the soil surface,  $\tilde{q}_E(x,t)$  represents the difference between *rainfall intensity* i(x,t) and *infiltration rate* f(x,t):

$$\tilde{q}_{E}(x,t) = \frac{q_{E}(x,t)}{W} \equiv \frac{i(x,t) - f(x,t)}{W}, (W \equiv 1 \ [L])$$
(5)

Eq.(5) holds in general; however, considering relatively small surfaces (e.g. agricultural fields) with homogeneous soils, the *x*-dependence of both *i* and *f* is negligible. As a consequence, it can be approximated as  $\tilde{q}_E(x,t) \approx [i(t) - f(t)]/W$ , where  $i(t) = dR_{NET}/dt$  and f(t) = dF/dt. The term F(t) [L] defines the cumulative infiltration, while  $\tilde{q}_E(x,t)$  is generally known as *rainfall intensity excess* per unit width or *total runoff*. It is important to underline that this approximation is not valid in the case of a river basin.

# 2.3. Distribution of rainfall periods

The set of rainfall periods required by runoff simulation is displayed in Fig. 1, where the rainfall intensity of the  $j^{th}$  period is given by  $i_j = P_j/D_j$ , [L/T],  $P_j$ , representing the precipitation amount and  $D_j$  the corresponding time interval. Accordingly, the maximum rate of rainfall inflow is defined as the maximum specific intensity  $i_{max} = P_{max}/D_{max}$ , where  $P_{max}$ is the maximum precipitation amount occurring. Rainfall events are subsequently transformed into a distribution of periods with a constant timestep ( $\Delta t$ ) of the order of a few minutes, while the rainfall in-



**Fig. 1** - Example of rainfall hyetograph. The ordinate axis shows the amount of rain corresponding to the event duration. *Fig. 1* - *Esempio di ietogramma delle piogge. L'asse delle ordinate indica la quantità di pioggia in corrispondenza della durata dell'evento.* 

tensity i(t) is calculated as  $R_{NET}/\Delta t$ , where  $R_{NET}[L]$  is the net rainfall reaching the soil surface. The net rainfall is usually estimated by a hydrological balance equation, involving several agro-meteorological processes:

$$R_{NET} \cong P - (E_P + T_p + Int + \dots) \tag{6}$$

where P[L] is the natural rainfall;  $E_p + T_p$  is the water lost by evapotranspiration  $ET_p$ ; Int[L] is the amount of water intercepted by standing vegetation. Many models are available to compute interception as a static or dynamic process but they are not considered here. Moreover, the amount of water lost by  $ET_p$  during a rain event turns out to be negligible, therefore it is not taken into account.

# 2.4 Soil water infiltration models

In this subsection, the fundamentals of infiltration theory are exploited to derive an analytical function for both the infiltration rate f(t) and the cumulative infiltration depth F(t), and to obtain the effective capillary drive term  $G(\theta_I, \theta_0)$  through the Brooks and Corey equation.

Smith-Parlange cumulative infiltration model The function F(t) [L] is the cumulative infiltration depth and it is defined as:

$$F(t) = \int_{0}^{t} f(t) dt \tag{7}$$

where f(t) [L/T] is the *infiltration rate*.

Several physics-based models relate F(t) to sorptivity  $S_p [L/T^{1/2}]$  and hydraulic conductivity at saturation  $K_s [L/T]$ , allowing to estimate these two quantities from infiltration data and by applying Eq.(7). One of the possible formulations of this relationship is given by the implicit equation proposed by Smith (2002):

$$F(t) \simeq K_{s}t - \frac{S_{p}^{2}}{2K_{s}} \left( e^{\frac{-2K_{s}F(t)}{S_{p}^{2}}} - 1 \right)$$
(8)

Being Eq.(8) an implicit equation, F(t) can be obtained by applying iterative methods (we adopt the Newton-Raphson method).

### Smith-Parlange infiltrability model

One of the most common approaches for estimating infiltrability  $f_c(t)$  [L/T] (also known as infiltration capacity), is through the application of the Smith-Parlange model with three parameters (Parlange 1971, 1972, 1975a, b; Smith and Parlange, 1978; Parlange et. al., 1982; Smith, 2002):

$$f_c(t) \cong K_s \left( 1 + \frac{\gamma}{e^{2\gamma K_s F(t)/S_p^2} - 1} \right), \ \gamma > 0 \tag{9}$$

Clearly, fc(t) is obtained by substituting F(t), calculated via Eq.(8).

It is worth to underline that, the best fit value for  $\gamma$  ranges from 0.80 to 0.85 (Parlange *et al.*, 1982; Smith *et al.*, 2002). Moreover, the *sorptivity*  $S_p$  in Eqs.(8)-(9) is defined as:

$$S_p = \sqrt{2K_s G(\theta_I, \theta_0) \Delta \theta} \equiv \sqrt{2K_s B}$$
(10)

where

$$B \equiv G(\theta_I, \theta_0) \Delta \theta; \Delta \theta \equiv (\theta_S - \theta_I) \equiv \Phi(S_S - S_I);$$
  

$$S_S = \theta_S / \Phi; S_I = \theta_I / \Phi$$
(11)

 $\Phi [L^3/T^3]$  is the effective soil *porosity*;  $\theta_0 [L^3/T^3]$  is the water content at the soil surface;  $\theta_s [L^3/T^3]$  and  $\theta_I [L^3/T^3]$  are the saturated and initial soil water content, respectively;  $S_s$  and  $S_I$  are the relative and initial soil saturation, respectively;  $\Delta \theta$  is the initial soil water content deficit; B [L] is the deficit of saturation of the soil. The hydraulic parameters  $K_s$ , G,  $\theta_s$ ,  $\theta_I$  and  $\Phi$ , are related to *sorptivity* through Eq.(10). The term G [L] is the integral of the *effective capillary drive* through the saturated front, under initially dry conditions and it was defined (Ogden *et al.*, 1997) as:

$$G(\theta_l, \theta_0) = \int_{\psi_l}^0 K_r(\psi) d\psi, \ K(\psi) = K_s K_r(\psi)$$
(12)

where  $K(\psi)$  [L/T] and  $K_r(\psi)$  [L/T] are the hydraulic conductivity and the relative unsaturated hydraulic conductivity functions;  $\psi$  [L] is the soil water capillary pressure head (i.e. the negative of matric suction head) and  $\psi_I$  [L] is the capillary pressure head at  $\theta = \theta_I$ .

# Evaluation of effective capillary drive term

Several analytical expressions of exist, depending on the form of soil water retention and unsaturated conductivity functions (Morel-Seytoux *et al.*, 1996 and 1999; Ogden *et al.*, 1997; Smith *et al.*, 2002). The term *G* also depends on the *initial capillary suction*  $\psi_I[L]$  and it can be estimated knowing  $K(\psi)$ . For example, using the Brooks and Corey equations

(Brooks and Corey, 1964)

$$\begin{cases}
K(\psi) = K_{s}(\psi_{B}/\psi)^{3+2/\lambda}; & \text{if } \psi \leq \psi_{B} \\
K(\psi) = K_{s}; & \text{if } \psi > \psi_{B} \\
\vartheta = \frac{(\theta - \theta_{r})}{(\theta_{s} - \theta_{r})} = \left(\frac{\psi_{B}}{\psi}\right)^{\lambda}; & \text{if } \psi \leq \psi_{B} \\
\vartheta = 1; & \text{if } \psi > \psi_{B}
\end{cases}$$
(13)

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and substituting in the Eq.(12), Ogden et al (1997) has proposed the following expression of G (see Appendix A.5 for details):

$$G(\theta_{l},\theta_{0}) = -\frac{\psi_{B}}{\lambda} \left(\frac{1-\vartheta_{l}^{3+1/\lambda}}{3+1/\lambda}\right)$$
(14)

where  $v_I = (\theta_I - \theta_r)/(\theta_s - \theta_r)$  is the *relative initial volumetric water content* (dimensionless);  $\psi_B[L]$  is the bubbling pressure head;  $\theta_r [L^3/T^3]$  is the residual water content of the soil profile, and  $\lambda$  (dimensionless) is the pore-size distribution index. In this study we assuming that the initial water content is equal to the residual saturation (i.e.  $\psi_I = \infty$ ,  $v_I = 0$ ), then the *effective net capillary drive*  $G_0[L]$ , can be approximated by the following formula (Ogden *et al.*, 1997; Morel-Seytoux *et al.*, 1996 and 1999; Rawls *et al.*, 1982; Smith *et al.*, 2002):

$$G_0 \cong -\psi_B \frac{(2+3\lambda)}{(1+3\lambda)} \tag{15}$$

holding after a long rain break.  $\psi_B$  and  $\lambda$  can be also obtained by fitting the *Brooks* and *Corey* equation to an experimental data set (Rawls *et al.*, 1982). In Eqs.(12)-(15) the sign of the terms  $\psi$ ,  $\psi_I$  and  $\psi_B$  has to be considered as negative.

In HIRM-KW the quantity  $G_0$  may be either assigned as an input parameter or evaluated by means of Eq.(15).

 $G(\theta_I, \theta_0)$  could also be calculated by using other conductivity functions (e.g. Mualem, 1976; Van Genuchten, 1980; Rawls and Pachepsky, 2004). The soil-water characteristic ( $\psi_I, \psi_B$  and  $K_S$ ) can also be indirectly estimated from the physical properties of the soil (i.e., clay, sand, silt, organic matter soil density), applying pedotransfer functions (e.g. Saxton *et al.*, 1986; Acutis *et al.*, 2003; Rawls and Pachepsky, 2004). Moreover, effective porosity, appearing in Eqs.(11), can be estimated from real and apparent soil density.

# Infiltration capacity during a rainfall pause

Eqs.(7)-(11) hold in general, when  $i(t) \ge K_s$ ; however, during a real precipitation event different periods may exist, with  $0 \le i(t) < K_s$  (i.e., almost absence of rain). As long as the water remains on the surface (satisfying the conditions of existence of the infiltration capacity  $f_c(t)$ ), the Smith-Parlange theory still covers small or absent rainfall events of very short duration. On the contrary, when longer dry periods occur, part or the whole soil surface is free from water, the process of water redistribution in soil occurs and the theory is no longer valid.

# 3. HIRM-KW FIELD-SCALE RUNOFF MODEL

# 3.1. Unified equations of the model

As already stated, in HIRM-KW the Kinematic-Wave model is coupled with the two-parameter Smith-Parlange infiltration model (9). Consequently, considering a single runoff plane (see Fig. 2), the physical model described in the previous sections can be summarized by the following set of equations:

$$\begin{cases} S_P \cong \sqrt{2K_s G_0(\theta_s - \theta_l)} \\ F(t) \cong K_s t - \frac{S_P^2}{2K_s} \left\{ e^{\left(\frac{-2K_s F(t)}{S_P^2}\right)} - 1 \right\} \\ f(t) = K_s \frac{e^{\left(\frac{2K_s F(t)}{S_P^2}\right)}}{e^{\left(\frac{2K_s F(t)}{S_P^2}\right)} - 1} \\ \tilde{q}_E(t) = \frac{[i(t) - f(t)]}{W}, (W \equiv 1 [L]) \\ \frac{\partial h}{\partial t} + \beta \alpha_0 h^{\beta - 1} \frac{\partial h}{\partial x} = \tilde{q}_E \\ O(h) = W \alpha_0 h^{\beta} \end{cases}$$
(16)

where  $\beta (= 5/3)$ ,  $\alpha_0$  is the Manning roughness coefficient and a Smith-Parlange model with two parameters is adopted, setting  $\gamma$  in Eq.(9). The evaluation of the overland flow is then obtained starting from the set of equations (16) and looking for solutions of the type h(x,t) in the domain of variation  $\Omega = \{0 \le x \le L; 0 \le t \le T_{MAX}\}$ . Values of h(x = L,t) are finally employed to calculate the outflow rate Q(x = L,t) through Eq.(3). Eq.(3) applies even if the plane is the first of a sequence of planes and the conditions specified by the set equations (16) can be extended to a sequence of cascade planes (see Fig. 3).

# 3.1.1. Equations in dimensionless form

The set of equations (16) are expressed in dimensional form. However, many internal calculations of our model can be carried out by using variables in dimensionless form (with appropriate scaling factors). For clarity sake, we recall that the formulation of a problem in dimensionless form requires to switch a reference system of units intrinsic to the same problem (Bridgman, 1923); apart from that, any formal difficulty arising from the change of variables is overcome by some major advantages:

1. simpler mathematical relationships, because of the reduction of the number of parameters;



**Fig. 2** - Dynamics of surface runoff in a plane of length L and width W, creating an excess of rainfall (q) conveyed in an open channel. *Fig. 2 - Dinamica del runoff* superficiale su di un piano di lunghezza L e larghezza W, che origina un eccesso di pioggia (q) convogliato in un canale aperto.

2. calculation efficiency;

3. assessment of the relative weights of the terms in the equations and of their influence on the process;

4. possibility of establishing comparison criteria: the

values of the dimensionless variables are independent of the measurement system;

5. the possible appearance of a small parameter value would indicate the minor role of the terms containing it.



Fig. 3 - Dynamic of surface runoff for a set of planes of equal width W, connected in cascade where runoff is conveyed in an open channel. Fig. 3 - Dinamica del runoff superficiale di un insieme di piani di uguale larghezza W, collegati "a cascata", in cui il runoff è convogliato in un canale aperto.

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We define the new dimensionless variables (denoted by the symbol ~) by assuming:

$$\widetilde{t} = \frac{K_s t}{B}, \widetilde{x} = \frac{K_s x}{\alpha_0 \beta B}, \widetilde{f} = \frac{f}{K_s}, \widetilde{F} = \frac{F}{B}, \widetilde{i} = \frac{i}{K_s},$$
(17)

 $\tilde{h} = \frac{h}{B}, \tilde{Q} = \frac{Q}{\alpha_0 W B^{\beta}},$ where the roughness coefficient  $\alpha_0$  and the deficit of saturation of the soil  $B \cong G_0(\theta_s - \theta_l)[L]$  are given by Eqs.(11). The set of equations (16) become, in the case of dimensionless variables:

$$\begin{cases} \tilde{F} = \tilde{t} - \{e^{-\tilde{F}} - 1\} \\ \tilde{f} = \frac{e^{\tilde{F}}}{e^{\tilde{F}} - 1} \\ \frac{\partial \tilde{h}}{\partial \tilde{t}} + \tilde{h}^{\beta - 1} \frac{\partial \tilde{h}}{\partial \tilde{x}} = (\tilde{\iota} - \tilde{f}) \\ \tilde{Q}(\tilde{x}, \tilde{t}) = \tilde{h}(\tilde{x}, \tilde{t})^{\beta} \end{cases}$$
(18)

In HIRM-KW the surface runoff is modelled by numerically solving the system of equations (18), according to the Manning law of resistance and the criterion of applicability of the Kinematic-Wave model (see Appendix A.4.4). It is worth to observe that, in dimensionless form, the number of equations is reduced to four.

#### 3.1.2. Initial and boundary conditions

Before the beginning of the rain event, HIRM-KW sets an initial soil water content  $\theta_i$ ; moreover, for a single plane of length L, the initial (*IC*) and boundary (*BC*) conditions are identical (see Fig. 2). Therefore

$$\begin{cases} IC: \ h(x,0) = 0; \ q_E(x,0) = 0; \ 0 \le x \le L \\ BC: \ h(0,t) = 0; \ q_E(0,t) = 0; \ 0 \le t \le T_{MAX} \end{cases}$$
(19)

where  $T_{MAX}$  is the total duration of the rainfall event.  $Q [L^3/T]$  can be calculated by applying Eq.(3) at x = L, then obtaining:

$$Q(L,t) = W\tilde{Q}(L,t) = \alpha W[h(L,t)]^{\beta}$$
<sup>(20)</sup>

where *W* is the width of the plane;  $\tilde{Q}$  is the flow rate per unit width and  $\alpha = \frac{1}{n}\sqrt{S_0}$ .

In the case of a sequence of planes (Fig. 2), the boundary conditions at each intersection must be defined. Actually, being  $\tilde{Q}_{u,n}$  the unitary flow of plane n at the upper contour (u) and  $\tilde{Q}_{l,n-1}$  the unitary flow of plane n-1 at the lower contour (l), it is possible to apply Eq.(20) at the intersection point  $x_u \equiv x_l \equiv L_{n-1}$  (being  $W_{u,n}$  and  $W_{l,n-1}$  the plane widths), obtaining:

$$\widetilde{Q}_{u,n}(x_u, t)W_{u,n} \equiv \widetilde{Q}_{l,n-1}(x_l, t)W_{l,n-1}, t > 0, 
0 \le x_u \le L_n, \quad 0 \le x_l \le L_{n-1}$$
(21)

and consequently, following Woolhiser  $et \ al.$ , (1970):

$$\widetilde{Q}_{u,n}(x_u,t) = \widetilde{Q}_{l,n-1}(x_l,t) \frac{W_{l,n-1}}{W_{u,n}}$$
(22)

Focusing on the flow depth h at the intersection point, the inflow height  $h_{u,n}(x_u,t)$  at the lower plane  $L_n$ , and the outflow height  $h_{l,n-1}(x_b,t)$  at the upper plane  $L_{n-1}$ , coincide, except for a multiplication factor. By adapting Eq.(20) into Eq.(22), we have:

$$h_{u,n}(x_u, t) = \left(\frac{\alpha_{u,n-1}W_{l,n-1}}{\alpha_{l,n}W_{u,n}}\right)^{\frac{1}{\beta}} h_{l,n-1}(x_l, t)$$
(23)

Eq.(23) implies that the same law of Manning's resistance holds at the two planes. In the particular case of two consecutive planes, with the same width W, Eq.(21) becomes  $\tilde{Q}_{l,n}(x_{u,t}) = \tilde{Q}_{l,n-1}(x_l,t)$  and, as a consequence, Eq.(23) can be written as follows:

$$h_{u,n}(x_u, t) = \left(\frac{\alpha_{u,n-1}}{\alpha_{l,n}}\right)^{\frac{1}{p}} h_{l,n-1}(x_l, t)$$
(24)

where  $\alpha_{l,n}$  and  $\alpha_{u,n-1}$  are the roughness coefficients for the lower (n) and the upper (n - 1) plane, respectively.

### 3.1.3. Global Volume Balance (GVB)

When a modelling process exploits any numerical solution of differential equations, the volume balance between *Inflow* and *Outflow* system must be evaluated. In our case, such balance can be written as:

$$\frac{dV}{dt} = Aq_E(t) - Q_s(x,t) =$$

$$= Ai(t) - [Af(t) + W\widetilde{Q}_s(x,t)]$$
(25)

where  $q_E(t) = i(t) - f(t)$  is the intensity of rainfall excess [L/T], A = LW, L and W are respectively: surface  $[L^2]$ , length [L] and width [L] of an overland flow on a rectangular surface. Moreover,  $\tilde{Q}_s[L^2/T]$  is the flow intensity per unit width W, which can be calculated by means of the following equation (Huber and Dickinson, 1988):

$$Q_s(x,t) = W\widetilde{Q}_s(x,t) = W\alpha_0[h(x,t) - h_d]^{\beta}$$
(26)

where  $\alpha_0$  is the roughness coefficient;  $h_d[L]$ , is called depression storage depth and it takes into account the fraction of water remaining on the ground surface, when no infiltration occurs. In HIRM-KW hd can be calculated as  $hd = e^{(-6.66+0.27RFR)}$  (Auerswald, 1992), where RFR [L/L], is a downslope roughness ratio based on field measurement and published table of experimentally determined values (Morgan *et al.*,

1998; Folly *et al.*, 1999). Water in depression storage does not directly contribute to overland flow runoff because it either evaporates or infiltrates later. Eq.(25) can be integrated between the starting time  $(t_{INI})$  and the final time  $(t_{END})$  of the rainfall event, thus obtaining the balance equation in integral form:

$$\begin{split} \Delta V &= A \int_{t_{INI}}^{t_{END}} i(L,t) dt - \int_{t_{INI}}^{t_{END}} [Af(L,t) + \\ &+ W \tilde{Q}_s(L,t)] dt \end{split} \tag{27}$$

Although Eq.(27) is expressed in  $[L^3]$ , it is often practical to express it in terms of flow depth  $\Delta h$  [L]. Moreover, being

$$\frac{dV}{dt} = A \frac{dh}{dt}, \tilde{Q}_s(x, t) = \frac{Q_s(x, t)}{W}$$
(28)

Eq.(27) can be written as

$$\begin{split} \Delta h &= \int_{t_{INI}}^{t_{END}} i(L,t) dt - \int_{t_{INI}}^{t_{END}} \left\{ f(L,t) + \frac{w\alpha_0}{A} \left[ h(L,t) - h_d \right] \right\}^{\beta} dt \end{split} \tag{29}$$

where i(L,t), f(L,t) and  $\tilde{Q}_{s}(L,t)$  are the rainfall intensity [L/T], the infiltration rate [L/T] and the flow intensity per unit length  $[L^2/T]$ , respectively. They are all evaluated at x = L, for the entire rainfall duration.

Finally, in the calculation of the percentage of *Global Volume Error* (% *GVE*), we used the formula

$$GVE = 100 \left| \frac{R_{NET} - \Delta h}{R_{NET}} \right|$$
(30)

where  $R_{NET}$  [L] is the net rainfall reaching the soil surface. Eqs. (27), (29) and (30) are exploited to control the Global Volume Balance (*GVB*) of HIRM-KW simulations (see Section 4).

# 3.2. Assumptions and limitations

If the applicability conditions of the Kinematic-Wave model defined in the Section 2.1.3 are satisfied, then the theory described in Section 2.1 can be a valid simplification for surface runoff. The validity of the hydrological model (16) however, is subject to some limitations:

1. precipitation occurs without interruption and it is constant over the entire space integration domain, consequently rainfall intensity changes in time but not in space (i.e.  $i(x,t) \approx i(t)$ );

2. the theory described in the Section 2.4 can be applied when the rainfall intensity is greater than the hydraulic conductivity at saturation (i.e.  $i(t) > K_s$ , according to the Hortonian overland flow approach);

3. the model does not take into account the water

redistribution process; therefore, the effective capillary drive (G) can be assigned fixed parameter or can be estimated by Eq.(15);

4. the friction slope  $\tilde{S}_f$  coincides with the topographic slope  $S_0$  (see Eq.(A.4.1));

5. the hydraulic conductivity (K), effective capillary drive (G) and the infiltration rate (f) are constant over the space integration domain on the plane; furthermore K and G does not vary with the depth z, and we assume only one layer of homogeneous soil, for which  $K(x,z) \approx K_s$  and  $f(x,t) \approx f(t)$ ;

6. Manning roughness parameter n is constant over the entire space integration domain x and it is estimated by using Eq.(A.4.13);

7. during the whole rainfall absence of interception is assumed (i.e.  $Int \approx 0$ ), Eq.(6) then reduces to  $R_{NET} \approx P$ ; 8. an initial soil water content ( $\theta_I$ ) is assumed constant over the depth of wetting but varies between storms, being the runoff surface regular in shape with width W, length L and bed slope  $S_0$ ;

9. the model (16) does not consider erosion, rill, presence of surface snow and percolation losses.

# 3.3. Numerical solutions of the model

The equation to be solved (i.e., Eq.(2)) is a first order hyperbolic PDE, nonlinear in h, simulating the change of water depth (h) on the grid plane (x,t). Analytical solutions exist in very few special cases and most of them are of little practical interest. As a consequence, the solution is usually numerically sought, by applying the Finite Differences (FD) method or the Finite Elements (FE) method.

In HIRM-KW the runoff simulation is performed by seeking a solution h(x,t) for the flow rate Q(x,t)(Eq.(3)) and then solving Eq.(2) with FD methods (see Appendix B).

HIRM-KW allows to use both a 3-points *linear* scheme (See Appendix B, Fig. B.1) and a 4-points *nonlinear* scheme (See Appendix B, Fig. B.2). Figs.(B.1) and (B.2) represent the uniform mesh solution of the *Finite Difference Backward scheme* (FDB), used to calculate the partial derivatives of Eqs.(2) (Singh, 1996; Chow *et al.*, 1988; Holden and Stephenson, 1988, 1995; Wood, 1993).

Applying the FD method, Eq.(2) in the *dimension*less form (see Eqs.(17)) can be re-written as follows (omitting the symbol ~ here and after in the text):

$$\frac{\partial h}{\partial t} + h^{\beta - 1} \frac{\partial h}{\partial x} = q_E \tag{31}$$

or in the equivalent form

$$\frac{\partial h}{\partial t} + \frac{1}{\beta} \frac{\partial h^{\beta}}{\partial x} = q_E \tag{32}$$

where  $q_E \equiv (i - f)$ .

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Linear 3-points FDB scheme for Kinematic-Wave Eq.(31)

By replacing Eq.(B.1.1) into Eq.(31), we have a system of linear equations in the unknown  $h_{i+1}^{j+1}$  whose explicit solution is given by the formula (Chow *et al.*,1988; Kazezyılmaz-Alhana *et al.*, 2005):

where Cr < 1 is the Courant number,  $c_k$  is the wave celerity and  $\bar{h}_c \approx 0.5 (h_i^{j+1} + h_{i+1}^j)$ 

*Linear* 3-points FDB scheme for Kinematic-Wave Eq.(32)

Replacing Eq.(B.2.1) into Eq.(32), we have a system of linear equations in the unknown  $h_{i+1}^{j+1}$ 

whose explicit solution is given by:

$$h_{i+1}^{j+1} = h_{i+1}^{j} - Ah_{i+1}^{j} + Bh_{i}^{j} + \overline{q}_{E} \Delta t$$
(34)

where

$$A = \frac{\Delta t}{\beta \Delta x} \left( h_{i+1}^j \right)^{\beta - 1}, B = \frac{\Delta t}{\beta \Delta x} \left( h_i^j \right)^{\beta - 1}$$
(35)

Numerical stability of schemes (33) and (34)

The numerical stability condition to be satisfied in the FDB schemes (33) and (34) is the Courant condition (Kibler and Woolhiser, 1970):

$$\frac{\Delta t}{\Delta x} \ge c_{k,max} = \alpha_0 h_{max}^{\beta - 1} \tag{36}$$

where  $c_{k,max}$  is the maximum wave celerity for flow and  $h_{max}$  is the maximum flow depth. If *L* is the length of an overland flow (see Fig. 2 and Fig. 3),

$$\Delta x \text{ can be approximated as } \Delta x \simeq \frac{L}{N} \text{ where } N \in \{10 \div 20\},\$$

such range being based on a numerical experimentation; however, this is an empirical criterion and it should be used just as basis guideline. Numerical heme (33) is unconditionally stable but nonconvergent for any value of  $C_r$  (Singh, 1996).

 $= onlinear 4 - points FD scheme for Kinematic-Wave \\ \mathcal{F}_{Eq.(31)}$ 

In HIRM-KW the solution of the Kinematic-Wave equation is obtained from a general implicit 4-points scheme, with weight factors for h(x,t) and Q(x,t),

using Eq.(33) (or (34)) for the initial estimate of h. Substituting (B.3.1) and (B.3.2) into Eq.(31), we get the finite difference equation:

$$ah_{i+1}^{j+1} + \lambda (h_{i+1}^{j+1})^{\beta} = F$$
  

$$F = ah_{i+1}^{j} - (1 - a)(h_{i}^{j+1} - h_{i}^{j}) + H + \Delta t q_{E}$$
(37)

where

$$\begin{split} \lambda &= b \frac{\Delta t}{\Delta x}, \\ H &= \lambda \left\{ \left( h_i^{j+1} \right)^{\beta} - \frac{(1-b)}{b} \left[ \left( h_{i+1}^{j} \right)^{\beta} - \left( h_i^{j} \right)^{\beta} \right] \right\} \end{split} \tag{38}$$

Eq.(37) is nonlinear in the unknown  $h_{i+1}^{j+1}$ , which can only be indirectly determined by solving, at each step, a system of linear equations (Press *et al.*, 2002; Epperson, 2002). Since contains only known terms, the unknown  $h_{i+1}^{j+1}$  is calculated by the *Newton-Raphson method*, solving the equation  $g(h_{i+1}^{j+1} = 0)$ and re-writing Eq.(37) as:

$$\begin{aligned} v_{k+1} &= v_k - \frac{g(v_k)}{g'(v_k)}, \\ g(v_k) &= \lambda v_k^{\beta} + a v_k - F, \\ g'(v_k) &= \frac{dg(v_k)}{dv_k}, \end{aligned} \tag{39}$$

where  $v_k = h_{i+1}^{j+1}$  is the value of  $h_{i+1}^{j+1}$  at the  $k^{th}$  iteration.

The convergence of the iterative process of Eq.(39) is reached when  $|v_{k+1} - v_k| \le \varepsilon$ , where  $\varepsilon$  is a dimensionless critical parameter.

A variant of FD scheme (37)

By replacing (B.3.3) and (B.3.4) into Eq.(31) (or Eq.(32)), we obtain the equation:

$$\frac{1}{2}h_{i+1}^{j+1} + \lambda (h_{i+1}^{j+1})^{\beta} = F$$

$$F = \frac{1}{2}(h_{i+1}^{j} + h_{i}^{j} - h_{i}^{j+1}) + H + q_{E}\Delta t$$
(40)

where  $\lambda$  and *H* are defined by Eq.(38).

Since Eq.(40) is a particular case of Eq.(37) with a = 0.5 and  $0 \le b \le 1$ , its solution is iteratively obtained by adapting the method described by Eqs.(39). Eqs. (37) and (40) are *unconditionally stable*, with an accuracy of the second order for b > 0.5. It is worth to recall that accuracy is highly dependent on the choice of  $\Delta x$  and  $\Delta t$  in the implicit schemes (Ponce *et al.*, 1978; Smith, 1985; Thomas, 1995; Strikwerda, 2004). Eq.(40) can be also written in matrix form:

$$A_{i}h_{i}^{j+1} + B_{i}h_{i+1}^{j+1} = F$$
  

$$F = h_{i}^{j}\left(1 + \delta_{-}D_{i}^{j}\right) + h_{i+1}^{j}\left(1 - \delta_{-}D_{i+1}^{j}\right) + 2q_{E}\Delta t$$
(41)

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where  $A_i$ , and  $B_i$  are given by

$$A_{i} = \left(1 - \delta_{+} D_{i}^{j+1}\right), B_{i} = \left(1 + \delta_{+} D_{i+1}^{j+1}\right),$$
  
$$\delta_{-} \equiv \frac{2(1-b)\Delta t}{\Delta x}, \delta_{+} \equiv \frac{2b\Delta t}{\Delta x}$$

$$(42)$$

and

$$D_{i}^{j} = \frac{1}{\beta} \left( h_{i}^{j} \right)^{\beta-1}, D_{i}^{j+1} = \frac{1}{\beta} \left( h_{i}^{j+1} \right)^{\beta-1},$$

$$D_{i+1}^{j} = \frac{1}{\beta} \left( h_{i+1}^{j} \right)^{\beta-1}, D_{i+1}^{j+1} = \frac{1}{\beta} \left( h_{i+1}^{j+1} \right)^{\beta-1}$$
(43)

For all the internal nodes, the system (41) can be consequently written as a tridiagonal square matrix which can be inverted by the *Thomas algorithm* (Thomas, 1995), allowing to solve the system:

$$\begin{bmatrix} 1 & 0 & 0 & \cdots & 0 & 0 \\ A_1 B_1 & 0 & \cdots & 0 & 0 \\ 0 & A_2 B_2 & \cdots & \cdots & 0 \\ \vdots & 0 & 0 & \cdots & \cdots & \vdots \\ 0 & \vdots & \vdots & \cdots & A_{N-1} B_{N-1} \\ 0 & 0 & 0 & \cdots & 0 & 1 \end{bmatrix} \begin{bmatrix} h_0^{j+1} \\ h_1^{j+1} \\ h_2^{j+1} \\ \vdots \\ h_{N-1}^{j+1} \\ h_N^{j+1} \end{bmatrix} = \begin{bmatrix} F_0^j \\ F_1^j \\ F_2^j \\ \vdots \\ F_{N-1}^j \\ F_N^j \end{bmatrix}$$
(44)

# 3.4. The software tool HIRM-KW

The software tool HIRM-KW is based on the diagram presented in Fig. 4, displaying the main processes involved during a dynamic simulation (see blocks A, B, C, D), including the surface runoff. It is important to underline that HIRM-KW takes into account only Hortonian overland flow (D) and soil infiltration (C). For what concerns its componentbased architecture, the HIRM-KW logical structure is reported in Fig. 5. The software was deliberately developed to simulate few processes, being aimed at the dynamic simulation of infiltration-runoff in lowland soils characterized by very low slopes, and it is able to simulate surface runoff on a single field or on different fields, subject to rainfall events without interruption.

In order to perform a simulation with HIRM-KW, first of all the following field-related parameters must be defined: width (W), length (L), topographic slope ( $S_0$ ) and a Manning's surface roughness coefficient  $n_0$ . Moreover, physical and hydrological characteristics, namely the saturated hydraulic conductivity ( $K_s$ ) and the effective net capillary drive ( $G_o$ ) need to be known. The surface depression storage depth ( $h_d$ ) instead is estimated using a surface soil roughness ratio (*RFR*). During a simulation, the runoff estimated by the Kinematic-Wave model starts when the infiltration capacity of the soil, evaluated with the Smith-Parlange equation, is exceeded.

At the end of each simulation, the software provides several information: *cumulative rainfall*  $R_c(t)$ ; *flow depth* h(x,t); *runoff* expressed in terms of *flow rate* Q(x,t); *cumulative infiltration depth* F(t), *infiltation rate* f(t) and *infiltration capacity*  $f_c(t)$ , obtained applying the two-parameters Smith-Parlange equation (Eq.(9), with  $\gamma = 1$ ). The Global Volume Balance (GVB) and a summary of the runoff process are also provided (see Tab. 1). Unlike other similar models e.g.: KINEROS (Woolhiser *et al.*, 1990), EUROSEM (Morgan *et al.*, 1998), WEPP (Flanagan and Near-

Water Precipitated Water Vapor Returned from Atmosferic Vapor to Atmosfere Canopy layer ETc Canopy Transpiration Direct Canopy Precipitation P(t) storage Soil Evaporation А B Soil Infiltration С Soil Layer D Overland Flow Soil Moisture Percolation Fig. 4 - Conceptual model of the main hydrological processes. Fig. 4 - Modello concettuale dei principali processi idrologici.



**Fig. 5** - Logical structure of the software tool HIRM-KW. *Fig. 5 - Struttura logica del software HIRM-KW.* 

GLO	BAL VOLUME BALANCE	RUNG	OFF SUMMARY
RAIN (mm)	Total Rainfall depth	<b>PRR</b> ( <i>mm/h</i> )	Peak of Rainfall Rate
PSTO (mm)	Water moisture remaining on the plane	TRUN (min)	Time of Runoff
TINF (mm)	Total volume infiltration	DRUN (min)	Duration of Runoff
TRUN (mm)	Total volume Runoff	TPFR (min)	Time to Peak Flow Rate
TBAL (mm)	Total storage, infiltration/Runoff terms	PFR (mm/h)	Peak of Flow Rate
GVE (%)	Percent Global Volume Error		

**Tab. 1** - Parameters calculated by the HIRM-KW model during a simulation. *Tab. 1 - Parametri calcolati dal modello HIRM-KW nel corso della simulazione.* 

ing, 1995; Laflen *et al.*, 1991), HIRM-KW offers flexibility and usability because of the simplicity of its Graphical User Interface (see Fig. 6 for a temporary screenshot), designed under MS.NET environment. Technically, the numerical core of HIRM-KW is written in standard C++ language (Press *et al.*, 2002), and the GUI (Graphical User Interface) is written in C# (Nash, 2010). The numerical libraries are compiled as DLL (Dynamic Link Library) for allowing code portability into other components and applications (Lippman *et al.*, 2000; Malik, 2011).

# 3.5. The EUROSEM model

EUROSEM (European Soil Erosion Model) is a single-event, process-based model for predicting soil erosion by water from fields and small catchments (Morgan *et al.*, 1998). The model is based on a physical description of the erosion processes and operates for short time steps of about one-minute. During the simulation, rainfall is first intercepted by the plant canopy and then split into direct throughfall and leaf drainage and stemflow. After determining the kinetic energy of these components, EUROSEM calculates soil splash detachment and models infiltration in accordance with the numerical approach of Smith and Parlange (1978). Runoff is then routed over the soil surface using the Kinematic-Wave equation accompanied by the modeling of soil erosion as a continuous exchange of particles between the flow and the soil surface. The main model outputs are total runoff, total soil loss, the storm hydrograph and storm sediment graph. An accurate description of the model is provided by Morgan *et al.*, (1998). EUROSEM model was extensively tested by several authors (e.g. Morgan *et al.*, 1998; Folly *et al.*, 1999; Rosenmund *et al.*, 2005; Mati *et al.*, 2006; Velardo, 2009); the software is freeware and free use and operates with a very rough command line and text interface (DOS like console in all Windows OS).

# 4. HIRM-KW PERFORMANCES EVALUATION

The current section is dedicated to present the results of some tests made to assess the computing performances of our model. The model validation is beyond the scope of this work.

# 4.1. Running conditions

Three different simulations were carried out, assuming an overland flow surface subject to the limitations described in Section 3.2. Parameters kept fixed for all simulations are listed in Tab. 2, while three values of  $K_s$  were taken into account: 2.5, 4.5 and 6.5 *mm/h*. Moreover, a thin layer of uniform flow was assumed as initial condition.

Data Setting	Simulation Res	ults							
Rainfall Data: Load	Import from a	data file:	· [						ОК
rainfall.dat	🛞 Data In	put 🖪	Parameters	Ih I	nfiltration	Runoff	GVB	Help	
Soil Data: Load	P	ainfall Eve	ante	_	Soil P	hveice Parar	metere		-
SOIL_v1.DAT	- Cuesta		lateraite		Ormhola	Ilysics Falai	-	1/-1	_
Save output ae	Events	Duration	1   Intensity	#Id	Symbols	Units	S	values	
	0	30	7.50	(00)	Row	[	.]	6	^
05112014_13.42.14.dat	1	30	7.50	(01)	Events	[	.]	13	
ata View	2	30	7.50	(02)	NetPair	[min	u]	30	
1	3	30	7.50	(03)	Width	[mm/n	1	120 0	
l'ables	5	30	7.50	(05)	Lenght	[m	-1	160.0	
	6	30	7.50	(06)	Slope	[m/m	1	0.01	
Graphics	7	30	7.50	(07)	The ini	[m^3/m^3	-1	0.35	
	8	30	7.50	(08)	The sat	[m^3/m^3	1	0.42	
Options.	9	30	7.50	(09)	Porosity	[m^3/m^3	i	0.48	
0/0	10	30	7.50	(10)	K sat	[mm/h	i]	2.50	
Help.	11	30	7.50	(11)	Manning	[s/m^1/3	1	0.035	
inc.p.	12	30	7.50	(12)	Go	[mm	1]	526.0	
Cimulationa	13	0	0.00	(13)	RFR	[	·]	15.0	
Simulauons.				(14)	D50	[m	1]	23.0	
				(15)	H_w	[m	1]	0.0001	
About HIRM-KW									
			<u></u>						
, Start Simulation									



	Parameters	Symbols	Values	Unit
1	Length of field	L	160	т
2	Width of field	W	120	т
3	Bed Slope	$S_0$	0.01	m/m
4	Soil porosity	Φ	0.48	$m^3/m^3$
5	Basic Manning roughness coefficient	n <sub>o</sub>	0.030	<i>s/m</i> <sup>1/3</sup>
6	Effective net capillary drive	$G_0$	526	mm
7	Surface soil roughness ratio	RFR	15	m/m
8	Initial value of soil water content	$\theta_I$	0.35	$m^3/m^3$
9	Saturated of soil water content	$\Theta_S$	0.42	$m^3/m^3$
10	Net rainfall	R <sub>NET</sub>	15	mm/h
11	Total time of rainfall periods	$T_{MAX}$	390	min

**Tab. 2** - Fixed parameters list. *Tab. 2 - Lista dei parametri* 

fissati.

# 4.2. Rainfall periods

The overland flow plane was assumed to be subject to a constant rainfall regime of precipitation  $P_j$ (mm), each period having equal duration  $D_j$  (min). In other words, the rainfall excess was assumed to be uniformly distributed throughout the plane. The test hyetograph is composed by 13 rainfall periods, width a rainfall rate of 15 mm/h. Then, the total duration is equal to 6.5h and the cumulative rainfall, at the end of the simulation, is 97.25 mm.

# 4.3. Numerical solution

In the three simulations, a single overland plane was considered as shown in Fig. 2 and the Kinematic-Wave model described in Section 3 was used, applying the non-linear implicit numerical scheme given by Eq.(40) and Eq.(33) (or (34)) for the initial estimate h. The numerical solution of the system was obtained using a 1-minute time-step ( $\Delta t = 1$ ) and a space-step ( $\Delta x - L/N$ ) about equal to 11.43 m (L = 160 m, N = 14). This latter value was iteratively calculated by varying the dimensionless parameter N in order to satisfy the numerical stability, finally obtaining 15 equidistant nodes on the entire length (L) of the plane.

# Simulations results comparison

Results of the three simulations described above, were compared with analogous output data produced by EUROSEM model. The comparison is reported in Tab. 3, focusing on two key variables: the infiltration capacity  $f_c(t)$  and the water flow runoff  $Q(\mathbf{x}, t)$  (Fig. 7). As clearly displayed, the

Rainfall rate 15 mm/h; Slope 1%		K <sub>S</sub> (mm/h)						
		2.	5	4.	5	6.5		
		EUROSEM	HIRM-KW	EUROSEM	HIRM-KW	EUROSEM	HIRM-KW	
	RAIN	97.25	97.25	97.25	97.25	97.25	97.25	
Global	PSTO	4.97	5.04	4.42	4.44	3.85	3.82	
Volume	TINF	40.09	40.23	56.12	56.17	69.24	69.02	
Balance (mm)	TRUN	52.24	52.03	36.74	36.68	24.19	24.44	
	TBAL	97.30	97.30	97.29	97.29	97.28	97.28	
	GVE %	-0.049	-0.049	-0.040	-0.040	-0.031	-0.031	
	PRR (mm/h)	15	15	15	15	15	15	
Hydrology Summary	TRUN (min)	32	33	59	61	93	93	
	DRUN (min)	357	356	330	328	296	296	
	TPFR (min)	389	389	389	389	389	389	
	PFR (mm/h)	11.18	11.18	9.17	9.17	7.23	7.25	

**Fab. 3** – EUROSEM

 and HIRM-KW simulations

 results.

 Fab. 3 - Esito

 lelle simulazioni

 li EUROSEM

 e di HIRM-KW.



**Fig. 7** - Comparison between Infiltration capacity  $f_c(t)$  (**left side**) and Flow Rate Q(x,t) (**right side**), simulated by EUROSEM (continuous-line) and HIRM-KW (dashed-line) corresponding to (a)  $K_s = 2.5 \text{ mm/h}$ ; (b)  $K_s = 4.5 \text{ mm/h}$ ; (c)  $K_s = 6.5 \text{ mm/h}$ .

Fig. 7 - Confronto tra i tassi di infiltrazione  $f_c(t)$  (a sinistra) e i flussi Q(x,t) (a destra) simulati da EUROSEM (linea continua) e HIRM-KW (linea tratteggiata) in corrispondenza di (a)  $K_s = 2.5 \text{ mm/h}$ ; (b)  $K_s = 4.5 \text{ mm/h}$ ; (c)  $K_s = 6.5 \text{ mm/h}$ .

output of the two models almost coincide for both variables.

# CONCLUSIONS

This paper can be considered as a useful resource for anyone interested in the mathematical details behind soil water runoff process, as it outlines all steps from the basic laws of motion to the numerical solutions of partial differential equations, depribing soil water dynamics. For what concers its simulation performances, the numerical output provided by HIRM-KW for runoff and infiltration processes was compared with the one produced by EUROSEM (one of the most popular soil erosion models), obtaining a good degree of agreement. Moreover, the HIRM-KW software was developed to be intuitive and simple to use, making it a valuable tool to produce reliable estimates or to support courses on numerical modelling of soil water dynamics, hydrological modelling and water agricultural management. Finally, it can be easily expanded by removing some of the limitations introduced in Section 3.2 (e.g. by keeping into account cover crops, variable rainfall distributions, wave diffusion for low bottom slopes, etc..), and by adapting it to the agricultural management of water resources.

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# APPENDIX A: Basic flow routing equations A.1 – Dynamic Wave models

The equations of motion for free surface (or open-channel) flows in *non-stationary* conditions are commonly referred to as *Dynamic Wave equations*. Dynamic-Wave models of surface runoff assume that the motion is described by a succession of instantly locally non-uniform motions, slowly varying in space and time. (Eagleson, 1970; Chow *et al.*, 1988; Brass, 1990; Linsley *et al.*, 1982).

In the one-dimensional form, they include the *dynamic momentum equation*:

$$\frac{\partial U}{\partial t} + g \frac{\partial h}{\partial x} + U \frac{\partial U}{\partial x} - g(S_0 - S_f) = 0 \qquad (A.1.1),$$

where external inputs are negligible (Eagleson, 1970), and the *continuity equation*:

$$\frac{\partial A}{\partial t} + \frac{\partial Q}{\partial x} = q_E(x,t), Q = UA \tag{A.1.2}$$

By definition, Q(x,t) [L<sup>3</sup>/T] is the volumetric flow rate crossing a section with velocity U(x,t) per time unit t; U(x,t) [L/T] is the average speed of the flow section in the direction of motion; h(x,t)[L] is the portion of free surface (or flow depth) with respect to a reference plane; A(x,t) [ $L^2$ ] is the area of the liquid cross-section; Sf is the (dimensionless) friction slope (i.e. the rate at which energy is lost along a given length channel);  $S_0 = \tan \theta$  $\upsilon$  is bed slope (where  $0 \le \upsilon \le 90^\circ$  is the inclination angle in degrees); g  $[L/T^2]$  is the gravity acceleration; t is time; x is the horizontal coordinate oriented in the direction of motion;  $q_E(x,t) [L^2/T]$ is the sum of the flows entering and leaving the section (also called *lateral flow*). In order to solve the system (A.1.1)-(A.1.2) and consequently find

a solution for Q(x,t), it is necessary to know the average speed variation as a function of the independent variables t and x.

For the open-channel flow several empirical formulas exist, holding for the cross-section averaged flow velocity (Henderson, 1966; Chow, 1959). The most common ones the *Chezy* formula and the Manning formula, which can be expressed with the following general formulation:

$$U = N\sqrt{S_f}R_a^{\beta-1} \equiv \frac{N\sqrt{S_f}}{p^{\beta-1}}A^{\beta-1}$$
(A.1.3)

where  $R_a = A/P [L]$  is the hydraulic radius, defined as the flow cross-sectional area A divided by the wetted perimeter P [L] of A and  $\beta$  is an index of nonlinearity.

If the *Chezy* relationship is used, then  $\beta \equiv 3/2$  and  $N \equiv C$  (*Chezy* roughness coefficient *C* has the dimensions  $[L^{1/2}/T]$ ). If the *Manning* relationship is used, then  $\beta \equiv 5/3$ , and  $1/N \equiv n$  (*Manning* roughness coefficient *n* as the dimensions  $[T/L^{1/3}]$ ). In natural channels *n* and *C* depend both on the geometry and the roughness of the wet perimeter; in cultivated land they also depend on surface roughness and vegetation cover.

From the definition Q = UA and from the (Manning or Chezy) law of resistance (A.1.3) we obtain

$$Q = \alpha A^{\beta} \tag{A.1.4}$$

where

$$\alpha = \frac{N\sqrt{S_f}}{p\beta-1} = \frac{N}{p\beta-1} \sqrt{S_0 - \frac{1}{g} \left(\frac{\partial U}{\partial t} + g \frac{\partial h}{\partial x} + U \frac{\partial U}{\partial x}\right)}$$
(A.1.5)

Substituting (A.1.4) into (A.1.2)

$$\frac{\partial A}{\partial t} + \frac{\partial}{\partial x} \left( \alpha A^{\beta} \right) = q_E(x, t)$$
(A.1.6),

differentiating the second partial derivative of (A.1.6)

$$\frac{\partial}{\partial x} \left( \alpha A^{\beta} \right) = \alpha \frac{\partial}{\partial x} \left( A^{\beta} \right) + A^{\beta} \frac{\partial}{\partial x} \alpha \qquad (A.1.7)$$

performing the derivative

$$\frac{\partial}{\partial x} \left( A^{\beta} \right) = \beta A^{\beta - 1} \frac{\partial A}{\partial x} \tag{A.1.8}$$

and substituting (A.1.8) into (A.1.7), finally leads

$$\frac{\partial A}{\partial t} + \beta \alpha A^{\beta - 1} \frac{\partial A}{\partial x} + A^{\beta} \frac{\partial \alpha}{\partial x} = q_E \qquad (A.1.9)$$

Eqs.(A.1.4) and (A.1.9) are called *Dynamics-Wave* equations.

# A.2 - Approximate form of the Dynamic-Wave equation

When the convective accelerations of a slow flow in non-uniform motion through a section A are *only* locally negligible and both  $\partial U/\partial t$  and  $\partial U/\partial x$  in

(A.1.1) are approximately zero, the friction slope can be approximated as follows:

$$S_f \approx S_0 - \frac{\partial h}{\partial x} \equiv \widehat{S}_f, (S_0 = \tan \vartheta)$$
 (A.2.1)

For a *wide channel of rectangular section*, having width *W* and height equal to the flow depth *h*, the cross section is equal to A = Wh, and the wet perimeter becomes P = 2h + W. So that, (A.1.9) can be re-written as:

$$\frac{\partial h}{\partial t} + \beta \alpha_C h^{\beta - 1} \frac{\partial h}{\partial x} + h^{\beta} \frac{\partial \alpha_C}{\partial x} = \widetilde{q}_E \tag{A.2.2}$$

where  $\tilde{q}_{\rm E} = q_{\rm E}/W[{\rm L/T}]$  is a *flow speed* (i.e. flow per unit width *W*) and

$$\alpha_{c}(x,t) = \left(\frac{W}{2h+W}\right)^{\beta-1} N \sqrt{\hat{S}_{f}}$$
(A.2.3)

Now combining (A.2.3) with (A.1.4) we get

$$Q(x,t) = W\alpha_{c}(x,t)h(x,t)^{\beta}$$
(A.2.4)

In other words, the general discharge rate Q(x,t) depends on both h and the gradient  $\partial h/\partial x$  through the term  $\hat{S}_{f}$ . (A.2.2) is referred to as *Diffusion-Wave* equation.

# A.3 - Approximate form of the Diffusion-Wave equation

The Manning and Chezy resistance law (A.2.3) for the Diffusion-Wave (A.2.2) can be written as

$$\alpha_{c}(x,t) = R\sqrt{S_{f}} \cong R\sqrt{S_{0} - \frac{\partial h}{\partial x}}$$
(A.3.1)

where

$$R = \begin{cases} \left(\frac{W}{2h+W}\right)^{\beta-1} C, \ \beta = \frac{3}{2} \ (Chezy) \\ \left(\frac{W}{2h+W}\right)^{\beta-1} \frac{1}{n}, \ \beta = \frac{5}{3} \ (Manning) \end{cases}$$
(A.3.2)

If R is constant (or W >> h), then the second term of (A.2.2) can be expanded as follows

$$\frac{\partial \alpha_c}{\partial x} = R \frac{\partial}{\partial x} \left( S_f^{-1/2} \right) = \frac{R}{2S_f^{-1/2}} \frac{\partial S_f}{\partial x}$$
(A.3.3)

then calculating

$$\frac{\partial S_f}{\partial x} = \frac{\partial}{\partial x} \left( S_0 - \frac{\partial h}{\partial x} \right) = -\frac{\partial}{\partial x} \left( \frac{\partial h}{\partial x} \right) = -\frac{\partial^2 h}{\partial x^2}$$
(A.3.4)

and substituting into Eq.(A.3.3)

$$\frac{\partial \alpha_c}{\partial x} = -\frac{R}{2S_f^{1/2}} \frac{\partial^2 h}{\partial x^2} = -\frac{\alpha_c}{2S} \frac{\partial^2 h}{\partial x^2}$$
(A.3.5)

we are finally able to obtain the diffusive term of (A.2.2) in the form

$$h^{\beta} \frac{\partial \alpha_{c}}{\partial x} = -h^{\beta} \frac{\alpha_{c}}{2S} \frac{\partial^{2} h}{\partial x^{2}} = -k(h) \frac{\partial^{2} h}{\partial x^{2}}$$
(A.3.6)

Substituting (A.3.6) into (A.2.2), the Diffusion-Wave can be written as:

$$\frac{\partial h}{\partial t} + c_K(h) \frac{\partial h}{\partial x} - k(h) \frac{\partial^2 h}{\partial x^2} = \tilde{q}_E$$
(A.3.7)

where

$$c_K(h) = \beta \alpha_C(x,t) h^{\beta-1}, k(h) = \frac{\alpha_c}{2\hat{s}_f} h^\beta \qquad (A.3.8)$$

The coefficients  $c_{\rm K}$  [*L*/*T*] and *k* [*L*<sup>2</sup>/*T*] are the *celer*ity and the *hydraulic diffusivity* of the Diffusion-Wave (DW) equation, respectively. In (A.3.7), the flow is *convective-diffusive*, while the extra term

$$k(h)\frac{\partial^2 h}{\partial x^2}$$
 is a standard form of Diffusion-Wave

equation, arising from the inclusion of the pressure term in (A.2.1).

(A.3.7) is formally similar to the equations (11.34), (11.39) and (11.41) proposed by Singh (1996).

# A.4. – Kinematic Wave approximation

The most radical approximation in (A.2.2) and (A.3.7) known as the *Kinematic-Wave* (KW) approximation, neglects any inertial and gravitational effect induced by non-parallelism between the free surface and the bottom of the flow. At regime, the motion is considered as *uniform*, so the flow conditions at each point do not depend on time. As a consequence, friction slope and bed slope are approximately equal, and (A.1.1) and (A.2.1) can be approximated by:

$$S_f \approx S_0 \equiv \tan \vartheta$$
 (A.4.1)

A.4.1 - Channels with arbitrary section

When the approximation (A.4.1) is applied to a free surface channel, (A.1.4) is drastically simplified to:

$$Q(x,t) = \frac{N\sqrt{S_0}}{P^{\beta-1}} A(x,t)^{\beta} = \alpha_C A(x,t)^{\beta}$$
(A.4.2)

Recalling (A.1.5), if the *Chezy* relationship is used, then  $\alpha_C \equiv C \sqrt{S_0/nP^{\beta-1}}$ ; on the other hand, if the *Manning* relationship is used, then  $\alpha_C \equiv \sqrt{S_0/nP^{\beta-1}}$ . Dealing with motion in channels of arbitrary section, it is more appropriate to write the Kinematic-Wave equation in terms of Q. We get then, from (A.4.2):

$$A(x,t) = \alpha_* Q^{\beta_*} \tag{A.4.3}$$

where  $\alpha_{\circ} = (1/\alpha_{C})^{\beta}$ , and  $\beta_{\circ} = 1/\beta$ . According to (A.3.2),  $\beta_{\circ} = 3/5$  in the *Manning* formula and  $\beta_{\circ} = 2/3$  in the *Chezy* formula.

Combining (A.4.2) with the continuity equation (A.1.2), we can conclude:

$$\frac{\partial Q}{\partial t} + c_K \frac{\partial Q}{\partial x} = c_K q_E(x, t) \tag{A.4.4}$$

where

$$C_K \equiv \frac{1}{\alpha_* \beta_* Q^{\beta_* - 1}} \tag{A.4.5}$$

Italian Journal of Agrometeoro<mark>(11)</mark> - 1/2016 *Rivista Italiana di Agrometeorologia - 1/2016*  q.(A.4.4) is the most common form of the Kinematic-Wave equation, used to calculate an openchannel flow passing through any channel section, under the assumption of uniform motion.

# A.4.2 - Channels with a rectangular section Because of the approximation (A.4.1), the coefficient $\alpha_C$ in (A.2.2) includes only the assigned parameters n (or C), P and $S_0$ . It follows that $\partial \alpha_C / \partial x = 0$ , and consequently:

$$\frac{\partial h}{\partial t} + c_K \frac{\partial h}{\partial x} = \tilde{q}_E \tag{A.4.6}$$

where

$$c_K \equiv \beta \alpha_C h^{\beta - 1} \tag{A.4.7}$$

In this case the discharge rate Q(x,t) is defined by (A.4.2), namely the Kinematic-Wave approximation for uniform flows across rectangular section channels.

A.4.3 - Wave Celerity of the Kinematic Wave equation From a physical point of view, celerity is the speed at which the wave propagates in the direction of motion; in other terms, it is the celerity at which a wave crosses a section A. Celerity  $c_K$  has the dimensions [L/T] and derives from the definition of wave velocity  $c_K = dx/dt$  leading to  $c_K = \beta U(x,t)$  where U[L/T] is the average wave propagation speed (Singh, 1996). In the Kinematic-Wave models (A.4.4) and (A.4.6), celerity  $c_K$  defined by

$$c_{K} = \beta U(x,t) \begin{cases} \equiv \beta \alpha_{C} h^{\beta-1} (planes) \\ \equiv \beta \frac{\alpha_{C}^{\nu\beta}}{Q^{(1-\beta)\nu\beta}}, (channels) \end{cases}$$
(A.4.8)

Clearly, celerity  $c_K$  is a function of the flow depth and of the resistance law adopted (see e.g. Singh, 1996; Brass, 1990; Chow, 1982). In the explicit numerical finite difference schemes, the wave celerity is used to control numerical stability.

# <mark>≡</mark>).4.4 - Criterion of applicability of theKinematic-Wave model

Wholhiser and Liggett (1967) have derived a criterion for judging the goodness of the kinematic wave approximation in modelling flow over a sloping plane subject to rainfall or lateral inflow. The criterion of applicability of the kinematic model is based on two dimensionless coefficients: the *Froude number* defined by

$$F_r^2 = \frac{U^2}{gh_L} \tag{A.4.9}$$

and the *Kinematic flow number*, defined by

$$K = \frac{LS_0}{h_L F_r^2} \equiv \frac{LS_0 g}{U^2}$$
(A.4.10)

where  $S_0$  is the bed slope; *L* is the plane or channel length, *g* is the gravity acceleration,  $h_L$  is the flow height at x = L. Sometimes these two parameters are indicated by  $F_r^2 K \equiv L S_0/h_L$ . In planes or channels with rectangular section, (A.4.9)-(A.4.10) can be based on physically significant and measurable parameters:

$$K = \frac{g n^{1.2} S_0^{0.4} L^{0.2}}{i_{max}^{0.8}}; \quad F_r = \frac{S_0^{0.45} (L \, i_{max})^{0.1}}{n^{0.9} \sqrt{g}}$$
(A.4.11)

if the Manning formula is used.

$$K = \frac{g(S_0 L)^{0.33}}{C^{1.33} i_{max}^{0.66}}; \quad F_r = C \sqrt{\frac{S_0}{g}}$$
(A.4.12)

# If the *Chezy* formula is used.

The term  $i_{max}$  [*L*/*T*] is the maximum rate of lateral inflow. As we already known, it is defined as the maximum specific intensity  $i_{max} = P_{max}/D_{max}$ , where  $P_{max}$  is the maximum precipitation amout, occurring in the  $D_{max}$  time interval.

# A.4.5 - Estimation of Manning coefficient n

Manning coefficient n is used in HIRM-KW to describe the roughness imparted to the flow and its value represents the summation of roughness (friction) effects (Chow, 1959):

$$n \cong (n_0 + n_g + n_v + n_m)m_n$$
 (A.4.13)

where  $n_0$  is the initial roughness coefficient,  $n_g$  is the grain roughness due to the soil particles,  $n_v$  is the roughness imparted by vegetation, and  $n_m$  is the micro-topographic roughness of the soil surface;  $m_n$  is a parameter ranging between 1.0 (bare soil) and 1.2. Since *n* cannot be directly measured, its value needs to be estimated. In general, standard textbooks (e.g., Chow, 1959; Linsley *et al.*, 1982) provide typical *n* values for open-channel flow.

# A.5 – Derivation of effective capillary drive , from Eq.(13)

By substituting Brooks and Corey Eqs.(13) into Eq.(12) and integrating between  $\theta_l$  and  $\theta$ , we get

$$G(\theta) = \int_{\theta_I}^{\theta} \vartheta(\theta)^{3+2/\lambda} \left(\frac{d\psi}{d\theta}\right) d\theta \tag{A.5.1}$$

where

$$\vartheta(\theta) = \frac{\theta - \theta_r}{\theta_s - \theta_r} = \left(\frac{\Psi_B}{\Psi}\right)^{\lambda} \tag{A.5.2}$$

(dimensionless) is the relative volumetric water con-

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tent of the soil profile with suction head  $\psi$ . The derivative

$$\frac{d\psi}{d\theta} = -\frac{\psi_B}{\lambda \vartheta^{1+1/\lambda}} \frac{d\vartheta}{d\theta}$$
(A.5.3)

Then, by substituting (A.5.3) into (A.5.1) we get

$$G(\theta_{I},\theta) = -\frac{\psi_{B}}{\lambda} \int_{\theta_{I}}^{\theta} \frac{\vartheta^{3+2/\lambda}}{\vartheta^{1+1/\lambda}} d\vartheta =$$

$$= -\frac{\psi_{B}}{\lambda} \int_{\theta_{I}}^{\theta} \vartheta^{2+1/\lambda} d\vartheta$$
(A.5.4)

In the end, Eq.(A.5.4) can be obtained by performing the definite integral (Ogden *et al.*, 1997):

$$G(\theta_I, \theta) = -\frac{\psi_B}{\lambda} \left( \frac{\vartheta^{3+1/\lambda} - \vartheta_I^{3+1/\lambda}}{3+1/\lambda} \right)$$
(A.5.5)

where  $\vartheta_I \equiv \vartheta$  ( $\theta = \theta_I$ ). Note that when  $\psi \rightarrow 0$  (at the saturation) then  $\theta \rightarrow \theta_s$  end  $\vartheta(\theta) \equiv 1$  and consequently Eq.(A.5.5) reduced to Eq.(14).

# **APPENDIX B: Finite Difference Methods** (FDM)

Finite differencing is a technique thanks to which continuous phenomena can be approximated by discrete functions. The basic FDM idea is to replace each derivative with a finite incremental ratio (Strikwerda, 2004; Holden and Stephenson, 1995; Smith, 1995). Doing so a PDE can be converted into an algebraic equation involving values assumed by the equation variables at certain discrete points in space and time (Fig. B.1 and B.2). Such discrete points are often called *nodes* and the intervals in space and



**Fig. B.1.** - Solution mesh of the linear 3-points FDB scheme. The unknown node  $h_{i+1}^{j+1}$  is determined by known nodes  $h_i^j, h_i^{j+1}, h_{i+1}^j$ .

Fig. B.1 - Reticolo delle soluzioni dello schema FDB lineare a 3 punti. Il nodo ignoto  $h_{i+1}^{j+1}$  si determina conoscendo i nodi  $h_i^j, h_i^{j+1}, h_{i+1}^j$ .



**Fig. B.2.** - Solution mesh of the nonlinear 4-points FD scheme. The unknown node  $h_{i+1}^{j+1}$ , can be determinate only by using an iterative method.

Fig. B.I - Reticolo delle soluzioni alle FD dello schema nonlineare a 4 punti. Il nodo ignoto  $h_{i+1}^{j+1}$ , può essere deteminato solo attraverso un metodo iterativo.

time are called *mesh increments*. Nodes and mesh increments form a solution mesh. The mesh increment size may or may not be equal in all spatial directions used, and they may or may not be uniform throughout the solution mesh (Hillel, 1980, Smith, 1985). The main additional restriction of a finite difference model is its accuracy, in comparison with the solution of PDE itself. However, if a finite-difference model is valid, then it converges to the PDE as the mesh increments approach to zero (Richtmyer and Morton, 1967). Different approximations for the derivatives lead to different numerical schemes: Full Implicit/Explicit, Lax-Friedrichs, Crank-Nicolson, Preissmann, etc. Depending on the solving scheme of the problem, these methods are divided in two types: *explicit* and *implicit*. In the first case, the solution is directly found by linearly expressing the variable to be determined; in the second case, the solution is obtained iteratively by nonlinear methods (e.g. Press et al., 2002; Epperson, 2002; Liggett and Cunge, 1975). Fig. B.1 shows the FDB of the *linear 3-points scheme*, while Fig. B.2 displays the nonlinear 4-points FD scheme, where "a" and "b" are the weight factors of the partial derivatives (see e.g. Singh, 1996; Preissmann, 1961; Wood, 1993; Holden and Stephenson, 1988, 1995). The explicit finite differences schemes lend themselves to approximate problems of linear type, or related to linear type. They have the disadvantage of easily becoming unstable, meaning the inevitable approximation errors become so large that the solution is destroyed (Linsley et al., 1982). Since it is

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often difficult, or even impossible, to prove the convergence of a numerical method (i.e. the existence of a unique approximated solution), hence a less restrictive (necessary but not sufficient) stability condition is generally imposed. This restriction is called *Courant- Friedrichs-Lewy Condition* (Courant *et al.*, 1967), and the corresponding explicit schemes are called *conditionally stable*. In an explicit scheme the pattern on the discretized plane (xi, tj) is built with time step  $\Delta t$ , and spatial step  $\Delta x$ , which have to be adequately chosen at the beginning of calculation. The subscripts *i* and *j* denote incremented space and time levels, respectively.

# B.1 – Linear 3-points FDB schemes of Eq.(31)

If the initial conditions are the nodal points

 $h_i^j, h_i^{j+1}, h_{i+1}^j$ 

then we obtain an *implicit linear three-point back-ward* scheme and the FD approximations for the derivative is given by:

$$\begin{split} & \frac{\partial h}{\partial t} \approx \frac{h_{i+1}^{j+1} - h_{i+1}^{j}}{\Delta t} \quad (backward \ in \ time), \\ & \frac{\partial h}{\partial x} \approx \frac{h_{i+1}^{j+1} - h_{i}^{j+1}}{\Delta x} \quad (backward \ in \ space), \\ & \overline{q}_{E} \approx 0.5 \left( q_{i+1}^{j} + q_{i}^{j+1} \right), \\ & q_{i+1}^{j} \equiv (i - f)_{i+1}^{j}, \ q_{i}^{j+1} \equiv (i - f)_{i}^{j+1}. \end{split}$$
(B.1.1)

**B.2 – Linear 3-points FDB schemes of Eq.(32)** If the initial conditions correspond to the nodal point

 $h_{i}^{j}, h_{i}^{j+1}, h_{i+1}^{j}$ 

we obtain an *explicit linear three-point backward* scheme of the form:

$$\begin{array}{l} \frac{\partial h}{\partial t} \approx \frac{h_{i+1}^{j-1} - h_{i+1}^{j}}{\Delta t} \ (backward\ in\ time), \\ \frac{\partial h}{\partial x} \approx \frac{h_{i+1}^{j} - h_{i}^{j}}{\Delta x} \ (bakward\ in\ space), \\ \overline{q}_{E} \approx 0.5 \left(q_{i}^{j+1} + q_{i}^{j}\right), \\ q_{i}^{j} \equiv (i-f)_{i}^{j}, \ q_{i}^{j+1} \equiv (i-f)_{i}^{j+1}. \end{array}$$
(B.2.1)

# 3 – No<u>nlinear</u> 4-points FD schemes of Y Eq.(31)

In order to reduce the problems of numerical stability and convergence in linear explicit systems, various authors suggest the employment of nonlinear implicit schemes, which turn out to be much more stable. These schemes are classified as *unconditionally stable* and they are not subject to the *Courant-Friedrichs-Lewy* condition (Ponce *et al.*, 1978). Considering *h* as a variable of the system and referring to the scheme of Fig. B.2,

the terms  $h, q_{E}, \frac{\partial h}{\partial x}$  and  $\frac{\partial h}{\partial t}$  can be approximated

as follows (Preissmann, 1961; Holden and Stephenson, 1988, 1995; Wood, 1993):

$$\frac{\partial h}{\partial t} \approx \frac{(1-a)}{\Delta t} \left( h_{i+1}^{j+1} - h_i^j \right) + \frac{a}{\Delta t} \left( h_{i+1}^{j+1} - h_{i+1}^j \right),$$
(B.3.1)  
$$\frac{\partial h}{\partial x} \approx \frac{(1-b)}{\Delta_x} \left( h_{i+1}^j - h_i^j \right) + \frac{b}{\Delta_x} \left( h_{i+1}^{j+1} - h_i^{j+1} \right)$$

and

$$\begin{split} h &\approx (1-b)(ah_{i+1}^{j} + (1-a)h_{i}^{j}) + b(ah_{i+1}^{j+1} + (1-a)h_{i}^{j+1}) \\ q_{E} &\approx (1-b)(aq_{i+1}^{j} + (1-a)q_{i}^{j}) + b(aq_{i+1}^{j+1} + (1-a)q_{i}^{j+1}) \end{split}$$
 (B.3.2)

where

 $h_i^j$ ,  $h_i^{j+1}$ ,  $h_{i+1}^j$  are the assigned nodal points;  $h_{i+1}^{j+1}$ 

is indirectly calculated from known points; *a* is the space weighting parameter; *b* is the time weighting parameter (see Fig. B.2). The coefficients *a* and *b* are dimensionless, ranging between 0 and 1 (typical values are  $0.6 \le b \le 0.7$  and a = 0.5 - Ponce *et al.*, 1978). By assigning suitable values to *a* and *b* in (B.3.1), different implicit and explicit patterns can be obtained (e.g., setting a = 1/2 and b = 1 results in a fully explicit numerical scheme).

# Modified Preissmann scheme

A widely employed variant of formulas (B.3.1) and (B.3.2) derives by setting  $a \equiv 1/2$ ,  $0.6 \leq b \leq 1$ , resulting in the 4-points implicit scheme:

$$\frac{\partial h}{\partial t} \approx \frac{\left(h_{i+1}^{j+1} - h_{i+1}^{j} + h_{i}^{j+1} - h_{i}^{j}\right)}{2\Delta t}, \qquad (B.3.3)$$

$$\frac{\partial h}{\partial x} \approx \frac{(1-b)}{\Delta x} \left(h_{i+1}^{j} - h_{i}^{j}\right) + \frac{b}{\Delta x} \left(h_{i+1}^{j+1} - h_{i}^{j+1}\right) \qquad (B.3.3)$$
and

$$\begin{split} h &\approx \frac{1}{2} \Big[ b \left( h_{i+1}^{j+1} - h_{i}^{j+1} \right) + (1-b) \left( h_{i+1}^{j} - h_{i}^{j} \right) \Big], \\ q_{E} &\approx b \overline{q}^{j+1} + (1-b) \overline{q}^{j} \\ \overline{q}^{j+1} &\equiv \frac{q_{i+1}^{j+1} + q_{i}^{j+1}}{2}, \overline{q}^{j} &\equiv \frac{q_{i+1}^{j} + q_{i}^{j}}{2} \end{split} \tag{B.3.4}$$

where the terms, 
$$\frac{\partial h}{\partial x}$$
,  $h$  and  $q_E$  are weighted by  $b$ .